



# THE LAW OFFICE OF STEVEN G. ROEDER

Patents, Trademarks and Copyrights

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                       |                                |            |
|-----------------------|--------------------------------|------------|
| In re application of: | Hartman et al.                 | )          |
|                       |                                | )          |
| Serial No:            | 10/763,703                     | ) Art Unit |
|                       |                                | ) 2834     |
| Filed:                | January 22, 2004               | )          |
|                       |                                | )          |
| For:                  | MOBILE ELECTRICAL POWER SOURCE | )          |
|                       |                                | )          |
| Examiner:             | Joseph Waks                    | )          |
|                       |                                | )          |
| Attorney Docket:      | 20012.01.CON                   | )          |

### TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF EITHER FINAL ACTION OR NOTICE OF ALLOWANCE 37 CFR §1.97(C)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is a Supplemental Information Disclosure Statement (form 1449A/PTO modified) along with a return receipt postcard. Copies of the United States Patent Documents are not enclosed.

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this supplemental information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this supplemental information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

The Supplemental Information Disclosure Statement transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but before the mailing date of either:

- a. a Final Action under §1.113 or
- b. a Notice of Allowance under §1.311, whichever occurs first.

#### **CERTIFICATE OR FEE**

Accompanying this transmittal is

X a certification as specified in 37 CFR 1.97(e)

OR

       the fee set forth in 37 CFR 1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00).

Dated this 18 day of October, 2004.

Respectfully submitted,

  
\_\_\_\_\_  
STEVEN G. ROEDER  
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## Certification Under 37 C.F.R. §1.97(e)

The undersigned hereby certifies that the present **Supplemental** Information Disclosure Statement is being filed:

After the mailing date of the first Office Action but before a final rejection or notice of allowance [37 C.F.R. §1.97(c)].

After a Final Rejection or Notice of Allowance but before payment of the issue fee [37 C.F.R. §1.97 (d)].

The undersigned also certifies the following:

That each item of information contained in the **Supplemental** Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart for an application not more than three (3) months prior to the filing of the Statement.

OR

That no item of information contained in the **Supplemental** Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(d) more than three (3) months prior to the filing of the Statement.

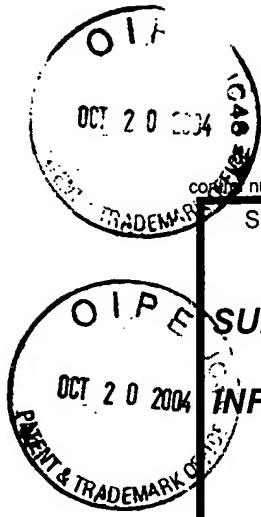
Dated this the 18th day of October, 2004.



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**Substitute for form 1449A/PTO**

**SUPPLEMENTAL  
INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
(use as many sheets as necessary)

Sheet

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of

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|                               |                |
|-------------------------------|----------------|
| <i>Application Number</i>     | 10/763,703     |
| <i>Filing Date</i>            | 1/22/2004      |
| <i>First Named Inventor</i>   | Hartman et al. |
| <i>Art Unit</i>               | 2834           |
| <i>Examiner Name</i>          | Joseph Waks    |
| <i>Attorney Docket Number</i> | 20012.01.CON   |

## U.S. PATENT DOCUMENTS

\*\*\* Copies of the cited U.S. patents and U.S. published patent applications are not enclosed pursuant to the Official Gazette Notice of August 5, 2003 stating that Information Disclosure Statements may be filed without copies of U.S. patents and published applications in patent applications filed after June 30, 2003.

## FOREIGN PATENT DOCUMENTS

| FOREIGN PATENT DOCUMENTS |                       |                                                                                        |                                |                                                    |                                                                                       |                |
|--------------------------|-----------------------|----------------------------------------------------------------------------------------|--------------------------------|----------------------------------------------------|---------------------------------------------------------------------------------------|----------------|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document                                                                | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant<br>Passages<br>or Relevant Figures<br>Appear | T <sup>6</sup> |
|                          |                       | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if<br>known) |                                |                                                    |                                                                                       |                |
|                          | BN                    | JP 2001-136707                                                                         | 05-18-2001                     | Nissho Engineering: KK                             |                                                                                       | X              |
|                          | BO                    | WO 01/31764 A1                                                                         | 05-03-2001                     | Komezumi Takayuki et al.                           | English Abstract                                                                      |                |
|                          | BP                    | GB 2371155 A                                                                           | 7-17-2002                      | Becker                                             |                                                                                       |                |
|                          | BQ                    | JP 58-156235                                                                           | 1983                           |                                                    |                                                                                       | X              |
|                          | BR                    | JP 2000-287499                                                                         | 10-13-2000                     |                                                    |                                                                                       | X              |

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Applicant's unique citation designation number (optional). See [Kinds Codes or USPTO Patent Documents at www.uspto.gov](http://www.uspto.gov) or WIPO ST 1-04.  
<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3).<sup>5</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.<sup>6</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST 16 if possible.<sup>6</sup>Applicant is to place a check mark here if English/Japanese Translation is attached.

document under WIPO Standard SI. 16 if possible. "Applicant is to place a check mark here if English language translation is attached.  
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of

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|                        |                |
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| Filing Date            | 1/22/2004      |
| First Named Inventor   | Hartman et al. |
| Art Unit               | 2834           |
| Examiner Name          | Joseph Waks    |
| Attorney Docket Number | 20012.01.CON   |

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup>                                                                                                                                                                                                                                   | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|--------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|
| BO                 | Aladdin Power Specifications. "AladdinPower Systems". Nissho Engineering Co., Ltd. 7/24/2004. <a href="http://www.nseg.co.jp/english/products/aladdin/index.htm">http://www.nseg.co.jp/english/products/aladdin/index.htm</a> .                         |                                                                                                                                                                                                                                                                 |                |
| BP                 | Step Charger Specifications. "Stepcharger". Nissho Engineering Co., Ltd. 7/24/2004. <a href="http://www.nseg.co.jp/english/products/step/index.htm">http://www.nseg.co.jp/english/products/step/index.htm</a> .                                         |                                                                                                                                                                                                                                                                 |                |
| BQ                 | NEASIA Online. Powered by Nikkei Electronics Asia. "Cover Story: Portable Devices Become Self-Chargeable". 7/24/2004. <a href="http://neasia.nikkeibp.com/nea/200308/conele_259890.html">http://neasia.nikkeibp.com/nea/200308/conele_259890.html</a> . |                                                                                                                                                                                                                                                                 |                |
|                    |                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                 |                |
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|                    |                 |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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